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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/807,774	04/17/2001	Harald Kaufmann	584.14-US1	4626

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EXAMINER

CRENSHAW, MARVIN P

ART UNIT	PAPER NUMBER
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2854

DATE MAILED: 03/19/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/807,774

Applicant(s)

KAUFMANN, HARALD

Examiner

Marvin P. Crenshaw

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AW

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM
THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on the RCE filed 1/26/2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 10 - 18 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 10-18 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 17 April 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892) 4) ☐ Interview Summary (PTO-413) Paper No(s). _____
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____ 6) ☐ Other: _____

DETAILED ACTION

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 10 and 13 are rejected under 35 U.S.C. 102(b) as being anticipated by Endo (JP 04164647 A).

Endo teaches a process for the manufacture of a screen print reflection transfer (Fig. 1) comprising the steps of initially providing an adhesive-repellant base medium (3), applying a transfer adhesive (2e) on the base medium, applying a reflection ink comprising a plurality of reflection particles (2d) either directly on the transfer adhesive or directly on an optional intermediate ink layer said optionally intermediate ink layer being optionally applied in an additional step directly on the transfer on the transfer adhesive and drying (See abstract, Applicant says the foil is thermally bonded) the transfer.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 11, 12 and 14 – 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Endo in view of LaPerre.

Endo teaches all that is claimed in the above rejection of claims 10 and 13, except additional steps of making the transfer adhesive.

LaPerre teaches the process where the intermediate ink layer is dried (See col. 15, lines 60-66) before printing the reflection ink, the process wherein a transfer medium (See col. 3, lines 23 - 26) is additionally applied to the dried and hardened transfer, a process wherein the transfer adhesive (See col. 15, lines 10 - 20) is transparent, colored translucent or full colored, and in particular that it is full-color white, the process wherein the reflection particles are used which are essentially spherical in shape and which have a grain diameter (See col. 10, lines 50 – 58) in the range from 10 to 100 μm , preferably 25 to 40 μm , or which essentially have the form of chips or needles and a longitudinal extension in the range from 10 to 110 μm , preferably 40 to 80 μm , or a mixture thereof and a process wherein the transfer layers (Fig. 2) are printed onto the base medium in such a way that the motif represented is of the correct side in the plan view.

It would have been obvious to modify Endo to have the additional steps of making a transfer adhesive as taught by LaPerre to provide an improved and efficient way of making a transfer adhesive.

With respect to claim 11, characterized that the transfer adhesive is dried after imprinting the base medium and before printing the reflective ink and or/the intermediate

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ink layer would be obvious to one of ordinary skill in the art to do it that way first for forming the substrate and not to let the ink melt and distort the image to be printed.

Response to Arguments

Applicant's arguments with respect to claims 10 - 18 have been considered but are moot in view of the new ground(s) of rejection.

Specifically, Endo (JP 04164647 A) has been added to teach the steps of making a transfer adhesive. Also, LaPerre teaches to further modify Endo by teaching an additional step of making the transfer adhesive.

With respect to applicant argument's of that the reference does not teach the step of applying a reflection ink comprising a plurality of reflection particles, applicant has not claimed the step of applying the reflecting particles to the reflecting ink prior to placement of the ink on the transfer adhesive. Since Endo teaches an ink ^{with} reflective particles on an adhesive, the reference anticipates this limitation.

AHH
3/3/04

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Marvin P. Crenshaw whose telephone number is (571) 272-2158. The examiner can normally be reached on Monday - Thursday 7:00 - 5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Andrew Hirshfeld can be reached on (571) 272-2168. The fax phone

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number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

MPC
February 24, 2004



ANDREW H. HIRSHFELD
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2800